### Data Structures

Algorithms

- Organize data to make access / processing fast
	- Speed depends on the internal organization
	- Internal organization allows different types of accesses

- Problems:
	- Large data is nowadays distributed over several data centers
	- Need to take advantage of storage devices

- **Internal Memory** 
	- DRAM: fast access, byte addressable
- Storage
	- Hard Disk Drives
		- Data in blocks
		- Decent for streaming (consecutive blocks)
		- Bad for random access (~10 msec per access)
	- Solid State Disks
		- Data in blocks (called pages)
		- Decent access times (~1msec per access)

- Thread safe:
	- Several threads can safely access data structure
	- Need collaboration between threads
		- Implemented with locks
		- Implemented without locks
			- Difficult to do
			- Needs atomic instructions: Hardware and compiler support

- Caches can make big performance differences
	- Cache aware algorithms
		- Get the parameter of the caches
	- Cache oblivious algorithms
		- Work well for all cache sizes
	- Dumb algorithms
		- Do not pay attention to caches at all
		- Frequent surprises with bad performance

## Example

- Multiplying two big, non-dense matrices
	- Cache aware:
		- Break matrices into subsquares
		- Three subsquares fit comfortably into cache





## Example

- Cache Oblivious
	- Use a Divide and Conquer Algorithm that subdivides the sub-squares repeatedly
	- Only **cold** cache misses when a new sub-square needs to be loaded into cache.

- Dictionary Key Value Store
	- CRUD operations: create, read, update, delete
	- Solutions differ regarding read and write speeds

- Range Queries (Big Table, RP)
	- CRUD and range operation

- Priority queue:
	- Insert, retrieve minimum and delete it

- Log:
	- Append, Read

- B-trees: In-memory data structure for CRUD and range queries
	- Balanced Tree
	- Each node can have between *d* and 2*d* keys with the exception of the root
	- Each node consists of a sequence of node pointer, key, node pointer, key, …, key, node pointer
	- Tree is ordered.
		- All keys in a child are between the keys adjacent to the node pointer

• Example: 2-3 tree: Each node has two or three children



- Read dog:
	- Load root, determine location of dog in relation to the keys
	- Follow middle pointer
	- Follow pointer to the left
	- Find "dog"



• Search for "auk" :



- Range Query c I  $\bullet$ 
	- Determine location of c and I



Recursively enumerate all nodes between the lines  $\bullet$ starting with root



- Capacity: With *l* levels, minimum of  $1 + 2 + 2^2 + ... + 2^l$ nodes:
	- $1(2^{l+1} 1)$  keys
- Maximum of  $1 + 3 + 3^2 + ... + 3^l$  nodes
	- $\frac{2}{2}(3^{l+1}-1)$  keys 2  $(3^{l+1} - 1)$

- Inserts:
	- Determine where the key should be located in a leaf
	- Insert into leaf node
	- Leaf node can now have too many keys
	- Take middle node and elevate it to the next higher level
	- Which can cause more "splits"







 $\pmb{\star}$ 

- Insert: Lock all nodes from root on down so that only one process can operate on the nodes
- Tree only grows a new level by splitting the root

- Using only splits leads to skinny trees
	- Better to make use of potential room in adjacent nodes
	- Insert "ewe".
		- Node elk-emu only has one true neighbor.
			- Node kid does not count, it is a cousin, not a sibling

• Insert ewe into



 $\hat{\mathbf{x}}$ 

• Insert ewe



- Promote elk. elk is guaranteed to come right after eft.
- Demote eft



• Insert eft into the leaf node



- Left rotate
	- Overflowing node has a sibling to the left with space
	- Move left-most key up
	- Lower left-most key









Now insert "ai"



**Insert creates an overflowing node Only one neighboring sibling, but that one is full Split!**



**Middle key moves up**



**Unfortunately, this gives another overflow But this node has a right sibling not at full capacity**



**Right rotate: Move "bot" up Move "doe" down Reattach nodes**



**Move "bot" up Move "doe" down Reattach the dangling node**


**"bot" had moved up and replaced doe** 

**The "emu" node needs to receive one key and one pointer**



## B-tree

- Deletes
	- Usually restructuring not done because there is no need
	- Underflowing nodes will fill up with new inserts

# B-tree

- Implementing deletion anyway:
	- Can only remove keys from leaves
	- If a delete causes an underflow, try a rotate into the underflowing node
	- If this is not possible, then merge with a sibling
		- A merge is the opposite of a split
	- This can create an underflow in the parent node
		- Again, first try rotate, then do a merge



**Delete "kit" "kit" is in an interior node. Exchange it with the key in the leave immediately before "fox"**



**After interchanging "fox" and "kit", can delete "kit"**



**Now delete "fox"**



**Step 1: Find the key. If it is not in a leaf Step 2: Determine the key just before it, necessarily in a leaf Step 3: Interchange the two keys**



**Step 4: Remove the key now from a leaf**



**This causes an underflow Remedy the underflow by right rotating from the sibling**



**Everything is now in order**



**Now delete fly**



**Switch "fly" with "emu" remove "fly" from the leaf Again: underflow**



**Cannot left-rotate: There is no left sibling Cannot right-rotate: The right sibling has only one key Need to merge: Combine the two nodes by bringing down "elk"**



**We can merge the two nodes because the number of keys combined is less than 2** *k*





Delete "emu"



#### **Switch predecessor, then delete from node**





**Results in an underflow**



**Results in an underflow But can rotate a key into the underflowing node**



#### **Result after left-rotation**





**Interchange "eel" with its predecessor Delete "eel" from leaf: Underflow**



**Need to merge**



**Merge results in another underflow Use right rotate (though merge with right sibling is possible)**



**"ass" goes up, "bot" goes down One node is reattached**



### **Reattach node**





Insert bot  $\bullet$ 



- Inserting bot in the leaf
	- Leads to an overflow



- Since rotates are not possible:
- Split: Middle node goes up, left and right become their own nodes

#### Activities koi cat gib rat dzo emu hen kea ass boa OX sow  $\downarrow$ у fly fox jay kid kit pig pug tit yak auk bot cob cod eel gnu goa moa olm roc roe ani asp

Insert ant  $\bullet$ 



• Insert ant into leaf: Leaf is now at overflow



• Right rotate: asp goes up, ass goes down



• Insert bat


• Insert into leaf: overflow



• Right rotate: bat goes up, boa goes down



• Insert bee



• Insert into leaf



- Need to split: boa goes up, bee and bot get their own nodes
- Now there is an overflow at a higher level
- Rotate is not possible

## Activities



- Now we have yet another overflow
- Need to do a right rotate: gib goes up and koi goes down



• Reattach the dangling pointer



• Final result

### Activities ani ant bat cat  $\cosh \cot \left| \right|$  eel  $\left| \right|$  fly fox dzo emu gnu goa  $\vert \cdot \vert$  jay  $\vert \cdot \vert$  kid kit hen kea gib  $mod$  olm | pig pug ox koi rat roc roe  $\begin{array}{|c|c|}$  tit yak sow ass auk  $\vert \vert$  bee  $\vert \vert$  bot asp boa

• Delete koi



· Switch with predecessor



• Delete koi from leave



Done  $\bullet$ 

### Activities ani ant bat cat  $\cosh \cot \left| \right|$  eel  $\left| \right|$  fly fox dzo emu gnu goa  $\vert \vert$  jay  $\vert \vert$  kid hen kea gib moa olm  $\vert \vert$  pig pug ox kit rat roc roe  $\vert \vert$  tit yak sow ass auk  $\vert \vert$  bee  $\vert \vert$  bot asp boa

• Delete gib using successor



• After swap, delete gib from leaf



• Delete kea with predecessor

### Activities ani ant bat cat  $\cosh \cot \left| \right|$  eel  $\left| \right|$  fly fox dzo emu goa **| key** | kid hen **jay** gnu  $mod$  olm | pig pug ox kit rat roc roe  $\vert \vert$  tit yak sow ass auk  $\vert \vert$  bee  $\vert \vert$  bot asp boa

• Delete from leaf



- Underflow:
- Rotate is not possible
- Merge: Two candidates, merge right





• Delete moa and pig



• Delete ox with successor



• Now delete from leaf



• Underflow: need to do a merge



- Now the leaf is fine, but the parent has an underflow
- Cannot rotate, so we need to do another merge
- This time we merge left



• Need to re-attach dangling node pointer





• Delete boa with predecessor



• Swap



- Delete
- Deal with underflow
- Only merge is possible



- This leaves the parent at an underflow
- Left sibling is at minimum capacity
- Right sibling is not
- Rotate Left: dzo goes up, cat goes down



• Need to re-attach dangling node pointer



• And pretty-print



### • The End

# In real life

- Use B+ tree for better access with block storage
	- Data pointers / data are only in the leaf nodes
	- Interior nodes only have keys as signals
	- Link leaf nodes for faster range queries.

## B+ Tree



# B+ Tree

- Real life B+ trees:
	- Interior nodes have many more keys (e.g. 100)
	- Leaf nodes have as much data as they can keep
	- Need few levels:
		- Fast lookup

## Combating Fringe Behavior

- Restructuring often happens with (almost) consecutive inserts
	- "Waves of misery" in running databases
- Use a way to buffer inserts
## Combating Fringe Behavior

- Log-structured Merge Trees
	- Two related B-trees
		- Can optimize merge of L1 into L2
			- Allow merges to run in parallel with CRUD



## Combating Fringe Behavior

- Log-structured Merge Trees
	- Keep L1-tree in main memory
	- Keep L2-tree in storage
	- Use Bloom filters in order to check whether keys are in either



- Central idea of hashing:
	- Calculate the location of the record from the key
	- Hash functions:
		- Can be made indistinguishable from random function
			- SH3, MD5, …
			- Often simpler
				- ID modulo slots

- Can lead to collisions:
	- Two different keys map into the same address
	- Two ways to resolve:
		- **• Open Addressing** 
			- Have a rule for a secondary address, etc.
		- **• Chaining**
			- **•** Can store more than one datum at an address

- Open addressing example:
	- Linear probing: Try the next slot

```
def hash(a_string):
  accu = 0i = 1 for letter in a_string:
      accu += ord(letter) *i
      i+=1 return accu % 8
```


Insert "fly" 7



#### **Since spot 2 is taken, move to the next spot**



#### **Since spot is taken, move to the next**



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**Try out location 2. Occupied, but not by "gnu"**



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hash("gnu")  $\rightarrow$  2

**Try out location 3. Find "gnu"**

"pig", 7

7



**Look at location 3: someone else is there Look at location 4: someone else is there Look at location 5: nobody is there, so if it were in the dictionary, it would be there**

- Linear probing leads to convoys:
	- Occupied cells tend to coalesce
- Quadratic probing is better, but might perform worse with long cache lines
- Large number of better versions are used:
	- Passbits
	- Cuckoo hashing
		- Uses two hash functions
	- Robin Hood hashing ...

- Chaining
	- Keep data mapped to a location in a "bucket"
		- Can implement the bucket in several ways
			- Linked List



**Chaining Example with linked lists**



**Chaining Example with an array of pointers (with overflow pointer if necessary)**



**Chaining with fixed buckets Each bucket has two slots and a pointer to an overflow bucket**

- Extensible Hashing:
	- Load factor *<sup>α</sup> <sup>=</sup>* Space Used / Space Provided
	- Load factor determines performance
	- Idea of extensible hashing:
		- Gracefully add more capacity to a growing hash table

- Extensible Hashing:
	- Uses a lot of metadata to reflect history of splitting
		- But only splits buckets when they are needed
	- Linear Hashing
		- Splits buckets in a predefined order
		- Minimal meta-data
		- Sounds like a horrible idea, but …

- Assume a hash function that creates a large string of bits
	- We start using these bits as we extend the address space
	- Start out with a single bucket, Bucket 0
	- All items are located in Bucket 0



Items with keys 19, 28, 33

- Eventually, this bucket will overflow
	- E.g. if the load factor is more than 2
	- Bucket 0 splits
	- All items in Bucket 0 are rehashed:
		- Use the last bit in order to determine whether the item goes into Bucket 0 or Bucket 1
		- Address is  $h_1(c) = c \pmod{2}$

• After the split, the hash table has two buckets:



• After more insertions, the load factor again exceeds 2



- Again, the bucket splits.
	- But it has to be Bucket 0



- For the rehashing, we now use two bits, i.e.  $h_2(c) = c \pmod{4}$ 
	- But only for those items in Bucket 0

• After some more insertions, Bucket 1 will split



- The state of a linear hash table is described by the number  $N$  of buckets
	- The level  $l$  is the number of bits that are being used to calculate the hash
	- The split pointer S points to the next bucket to be split
	- The relationship is

$$
N=2^l+s
$$

• This is unique, since always  $s < 2<sup>l</sup>$ 

- Addressing function
	- The address of an item with key  $c$  is calculated by

```
def address(c): 
a = hash(c) % 2**1if a < s: 
   a = hash(c) % 2**(1+1)return a
```
• This reflects the fact that we use more bits for buckets that are already split

$$
N=1=2^0+0
$$

Number of buckets: 1 Split pointer: 0 Level: 0

```
def address(c): 
a = hash(c) % 2**1if a < s:
   a = hash(c) % 2**(1+1)return a
```


 $N = 2 = 2^1 + 0$ 

Number of buckets: 2 Split pointer: 0 Level: 1

```
def address(c): 
a = hash(c) \, % \, 2**1if a < s: 
   a = hash(c) % 2**(1+1)return a
```


Add items with hashes 40 and 11 This gives an overflow and we split Bucket 0

 $N = 3 = 2^1 + 1$ 

Number of buckets: 3 Split pointer: 1 Level: 1

```
def address(c): 
a = hash(c) \, % \, 2**1if a < s:
   a = hash(c) % 2**(1+1)return a
```




No items were moved

 $N = 3 = 2^1 + 1$ 

Number of buckets: 3 Split pointer: 1 Level: 1





Add items 6, 35



Because of overflow, we split Bucket 1

$$
N=4=2^2+0
$$

Number of buckets: 4 Split pointer: 0 Level: 2





```
def address(c): 
a = hash(c) % 2**1if a < s: 
   a = hash(c) % 2**(1+1)return a
```

$$
N=4=2^2+0
$$

Number of buckets: 4 Split pointer: 0 Level: 2

```
def address(c): 
a = hash(c) % 2**1if a < s:
   a = hash(c) % 2**(1+1)return a
```


Now add keys 8, 49



Creates an overflow! Need to split!

 $N = 5 = 2^2 + 1$ 

Number of buckets: 1 Split pointer: 1 Level: 2

```
def address(c): 
a = hash(c) \, % \, 2**1if a < s:
   a = hash(c) % 2**(1+1)return a
```


 $N = 5 = 2^2 + 1$ 

Number of buckets: 5 Split pointer: 1 Level: 2

```
def address(c): 
a = hash(c) \, % \, 2**1if a < s:
   a = hash(c) % 2**(1+1)return a
```


Add keys 9, 42



Creates an overflow! Need to split!

$$
N=6=2^2+2
$$

Number of buckets: 1 Split pointer: 2 Level:  $2$ 

```
def address(c): 
a = hash(c) \, % \, 2**1if a < s:
   a = hash(c) % 2**(1+1)return a
```


No item actually moved, but average load factor is now again under 2.

 $N = 6 = 2^2 + 2$ 

Number of buckets: 6 Split pointer: 2 Level: 2

```
def address(c): 
a = hash(c) \, % \, 2**1if a < s:
   a = hash(c) % 2**(1+1)return a
```

$N = 7 = 2^2 + 3$ 

Number of buckets: 7 Split pointer: 3 Level: 2

```
def address(c): 
a = hash(c) % 2**1if a < s:
   a = hash(c) % 2**(1+1)return a
```




$$
N = 7 = 2^2 + 3
$$

Number of buckets: 7 Split pointer: 3 Level: 2

```
def address(c): 
a = hash(c) \, % \, 2**1if a < s: 
   a = hash(c) % 2**(1+1)return a
```
92, 74



Bucket 0: 40, 8 Bucket1: 9, 33, 49 Bucket 2: 10, 42, 74 Bucket 3: 11, 19, 35 Bucket 4: 28, 92 Bucket 5: 5 Bucket 6: 6

 $N = 8 = 2^3 + 0$ 

Number of buckets: 8 Split pointer: 0 Level: 3

```
def address(c): 
a = hash(c) % 2**1if a < s:
   a = hash(c) % 2**(1+1)return a
```




 $N = 8 = 2^3 + 0$ 

Number of buckets: 8 Split pointer: 0 Level: 3

def address(c): a = hash(c) % 2\*\*l if a < s: a = hash(c) % 2\*\*(l+1) return a





 $N = 9 = 2^3 + 1$ 

Number of buckets: 9 Split pointer: 1 Level: 3

```
def address(c): 
a = hash(c) % 2**1if a < s:
   a = hash(c) % 2**(1+1)return a
```


 $N = 9 = 2^3 + 1$ 

Number of buckets: 9 Split pointer: 1 Level: 3

```
def address(c): 
a = hash(c) % 2**1if a < s:
   a = hash(c) % 2**(1+1)return a
```




81

$$
N = 10 = 2^3 + 2
$$

Number of buckets: 10 Split pointer: 2 Level: 3

```
def address(c): 
a = hash(c) % 2**1if a < s: 
   a = hash(c) % 2**(1+1)return a
```


# Linear Hashing

- Observations:
	- Buckets split in fixed order
		- 0, 0,1, 0, 1, 2, 3, 0, 1, 2, 3, 4, 5, 6, 7, 0, 1, 2, …, 15, 0, …
		- Address calculation is modulo  $2^l$ , i.e. the *l* least significant bits
		- Buckets 0, 1, …, s-1 and 2\*\**l,* 2\*\**l+*1, … *N*-1 are already split, they have on average half the size of the buckets *s, s*+1, …, 2\*\**l*.

# Linear Hashing

- Observations:
	- An overflowing bucket is not necessarily split immediately
	- Sometimes, a split leaves all keys in the splitting bucket or moves them all to the new bucket
- On average, a bucket will have *<sup>α</sup>* items in them