#### Security Thomas Schwarz, SJ

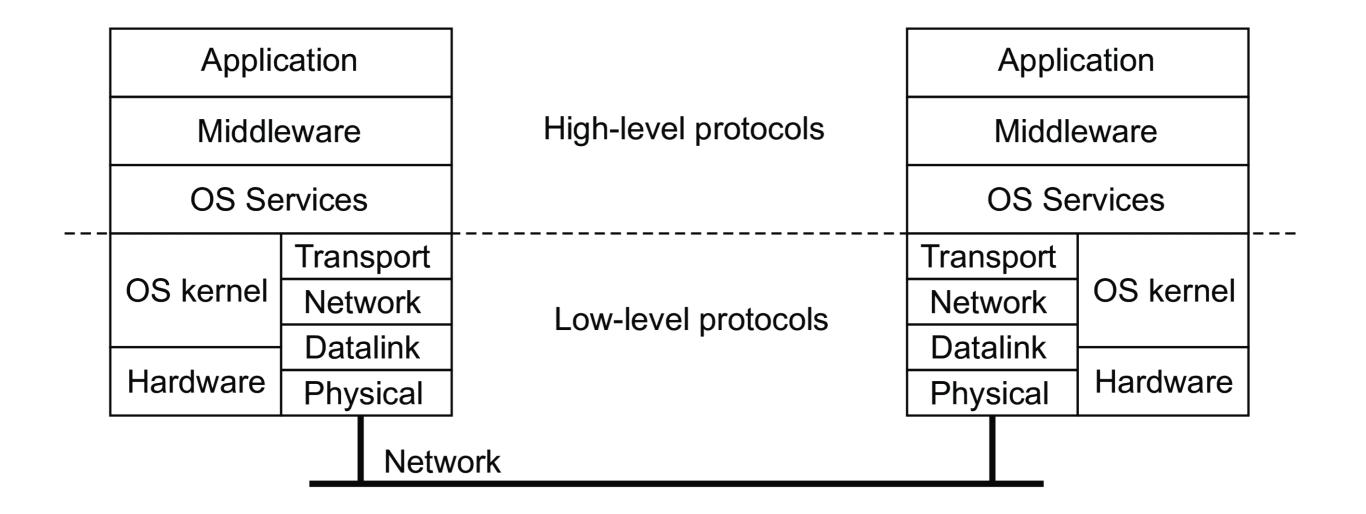
- A dependable system provides availability, reliability, safety, maintainability, confidentiality, and integrity.
- Confidentiality: refers to the property that information is disclosed only to authorized parties.
- Integrity: alterations to a system's assets can be made only in an authorized way, ensuring accuracy and completeness.

- We attempt to protect against security threats:
  - 1. Unauthorized information disclosure (confidentiality)
  - 2. Unauthorized information modification (integrity)
  - 3. Unauthorized denial of use (availability)

- Mechanisms:
  - Encryption: transform data to something an attacker cannot understand, or that can be checked for modifications.
  - Authentication: verify a claimed identity.
  - Authorization: check an authenticated entity whether it has the proper rights to access resources.
  - Monitoring and auditing: (continuously) trace access to resources

- Security principles:
  - Fail-safe defaults: defaults should already provide good protection. Infamous example: the default "admin.admin" for edge devices.
  - Open design: do not apply security by obscurity: every aspect of a distributed system is open for review.
  - Separation of privilege: ensure that critical aspects of a system can never be fully controlled by just a single entity.
  - Least privilege: a process should operate with the fewest possible privileges.
  - Least common mechanism: if multiple components require the same mechanism, then they should all be offered the same implementation of that mechanism.

• Where to implement?



 We are increasingly seeing end-to-end security, meaning that mechanisms are implemented at the level of applications.

 Trusted Computing Base: The set of all security mechanisms in a (distributed) computer system that are necessary and sufficient to enforce a security policy.

Privacy

- Privacy and confidentiality are closely related, yet are different. Privacy can be invaded, whereas confidentiality can be breached
  - ensuring confidentiality is not enough to guarantee privacy.

## Privacy

- Right to privacy
- The right to privacy is about "a right to appropriate flow of personal information."
  - Control who gets to see what, when, and how
    - a person should be able to stop and revoke a flow of personal information.

Privacy

- General Data Protection Regulation (GDPR)
  - European Union regulation
  - The GDPR is a comprehensive set of regulations aiming to protect personal data.

## Privacy

| GDPR regulation   | Impact on database systems       |                   |  |
|---|----------------------------------|-------------------|--|
|   | Attributes                       | Actions           |  |
| Collect data for explicit purposes                              | Purpose                          | Metadata indexing |  |
| Do not store data indefinitely                                  | TTL                              | Timely deletion   |  |
| Inform customers about GDPR metadata associated with their data | Purpose, TTL,<br>Origin, Sharing | Metadata indexing |  |
| Allow customers to access their data                            | Person id                        | Metadata indexing |  |
| Allow customers to erase their data                             | TTL                              | Timely deletion   |  |
| Do not use data for objected reasons                            | Objections                       | Metadata indexing |  |
| Allow customers to withdraw from algorithmic decision-making    | Automated decisions              | Metadata indexing |  |
| Safeguard and restrict access to data                           |                                  | Access control    |  |
| Do not grant unlimited access to data                           |                                  | Access control    |  |
| Audit operations on personal data                               | Audit trail                      | Monitor and log   |  |
| Implement appropriate data security                             |                                  | Encryption        |  |
| Share audit trails from affected systems                        | Audit trail                      | Monitor and log   |  |

Cryptography

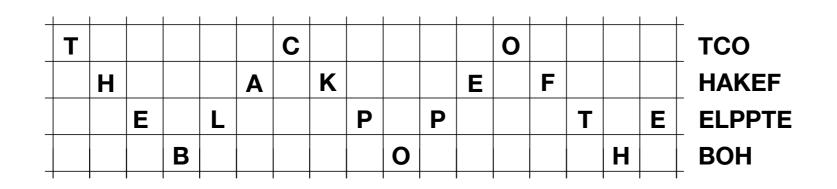
- Traditional use / symmetric encryption:
  - Confidentiality of information in transit or at rest



- Encryption uses a key (or another secret)
- Decryption (up till lately) uses the same key

# Cryptography

- Rail Fence Scheme (used by Spartans)
  - A transposition scheme
    - Symbols stay but position is scrambled
    - Secret is the pattern



TCOHAKEFELPPTEBOH

# Cryptography

Κ

Т

Μ

Ν

0

Ρ

Q

R S

T V

Х

Y

Ζ

Α

В

С

D

Ε

F

G

Н

Caesar's Cipher Substitution Code Α В Key: A shift amount x, often represented by a letter С D Ε F Encryption: G н • Each letter is replaced by a letter moved by x Κ positions down in the alphabet Μ Ν Decryption: 0 Ρ Q Each letter is replaced by a letter moved by x R S positions upwards in the alphabet Т V Х Example  $\bullet$ Υ Ζ GALLIAOMNIAESTDIVISAINPARTESTRES

PITTRIYVXRINCDMRERCIRXZIBDNCDBNC

# Cryptography

- General Substitution Cipher
  - Key: Uses a fixed permutation of letters
    - Example: Generate a permutation of letters using a random number generator, the key is the seed of the generator
  - Encryption:
    - Replaces a letter by the image of the letter under the permutation
  - Decryption:
    - Replaces a letter by the image of the letter under the inverse permutation

# Vigenère Cipher

- A.k.a: The unbreakable code
  - Idea: Use a Caesar cipher, but vary the shift amount with each letter
  - Key is a long phrase
    - CSA used cipher disks and phrases
      - "Manchester Bluff"
      - "Complete Victory"
      - "Come Retribution"
  - To encode a letter:
    - Move the inner disk's A under the current letter of the key phrase
    - Take the letter of the plain text
    - Read the inner disk's letter under that letter in the outer disk.
    - This is your cipher letter

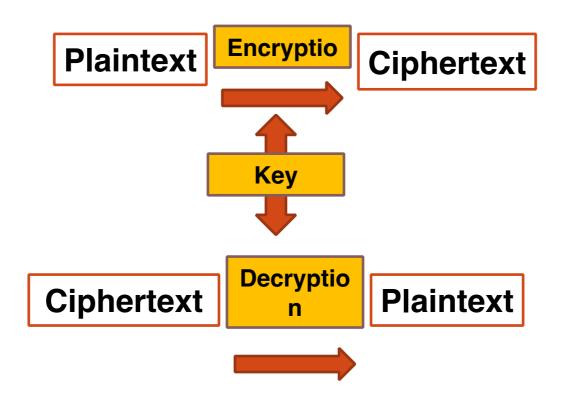


CSA cipher disk: Luckily, CSA SIGSEC was atrocious

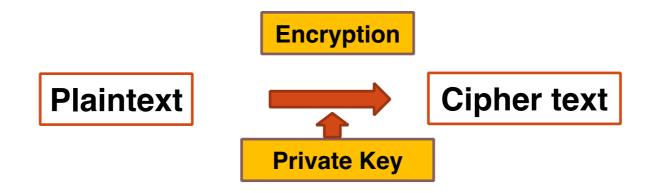
# Vigenère Cipher

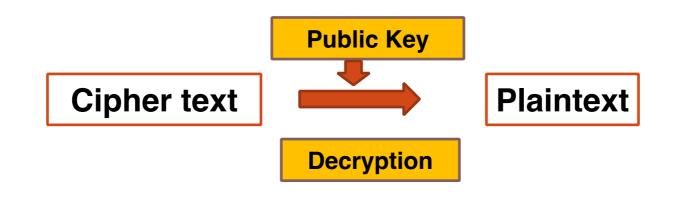
- Example
  - "Divisions (commanded by) Maj. Gen. Picket, Brig. General Pettigrew and Maj. Gen. Trimble will charge cemetery hill after a preliminary bombardment"
  - "DIVISIONSPICKETPETTIGREWTRIMBLEWILLCHAR"
  - key: "FINALVICTORY"
  - cipher: D^F, I^I,V^N,I^A,S^L, ...
  - Result:
  - IQIIDDWPLDZAPMGPPOBKZFVUYZVMMGMYBZCAMIE

## Secret Key Cryptography



## Public Key Cryptography





# Using Public Keys

- Alice has public private key pair  $P_A$  and  $R_A$
- Bob has public private key pair  $P_B$  and  $R_A$
- Alice wants to send Bob a private message
  - Invents a symmetric key K
  - Sends  $m_K, P_B(K)$  to Bob
    - Only Bob can decrypt  $P_B(K)$  as  $K = R_B(P_B(K))$  and therefore decrypt  $m_K$

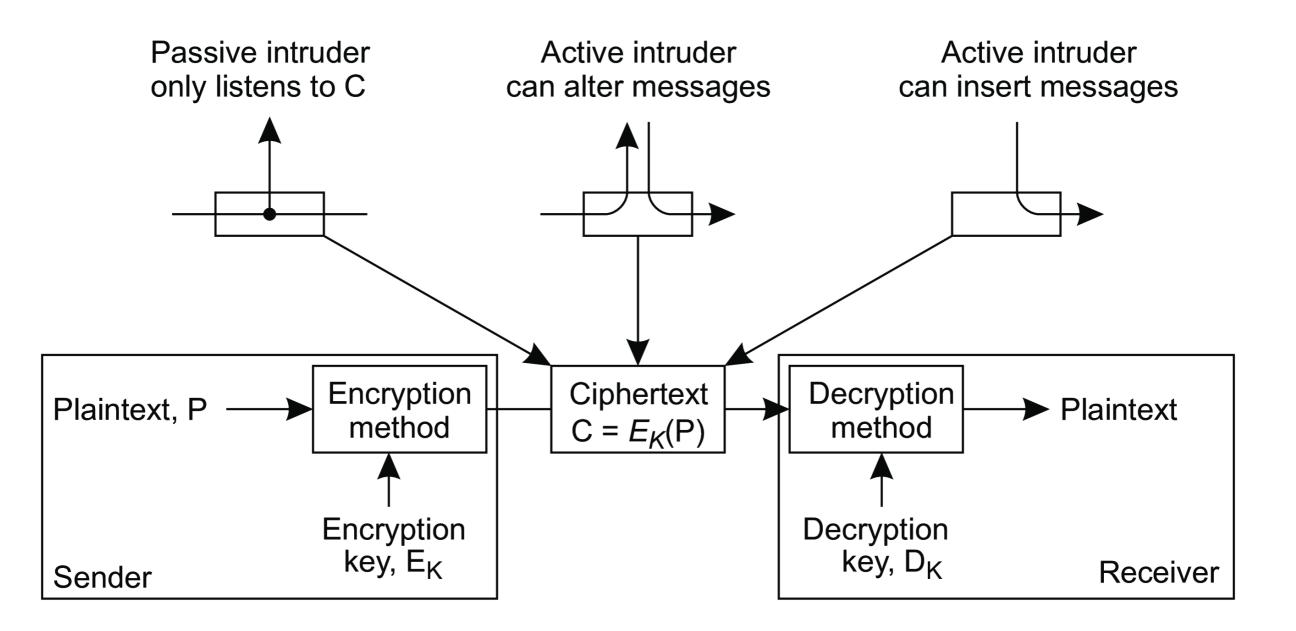
# Using Public Keys

- Alice has public private key pair  $P_A$  and  $R_A$
- Bob has public private key pair  $P_B$  and  $R_A$
- Alice wants to sign a message
  - Sends  $(R_A(m), m)$
  - Anyone knowing  $P_A$  can decrypt:  $m = P_A(R_A(m))$

## Homomorphic Encryption

- Can use operations directly on encrypted data
  - $m_K \times n_K = (m \oplus n)_K$

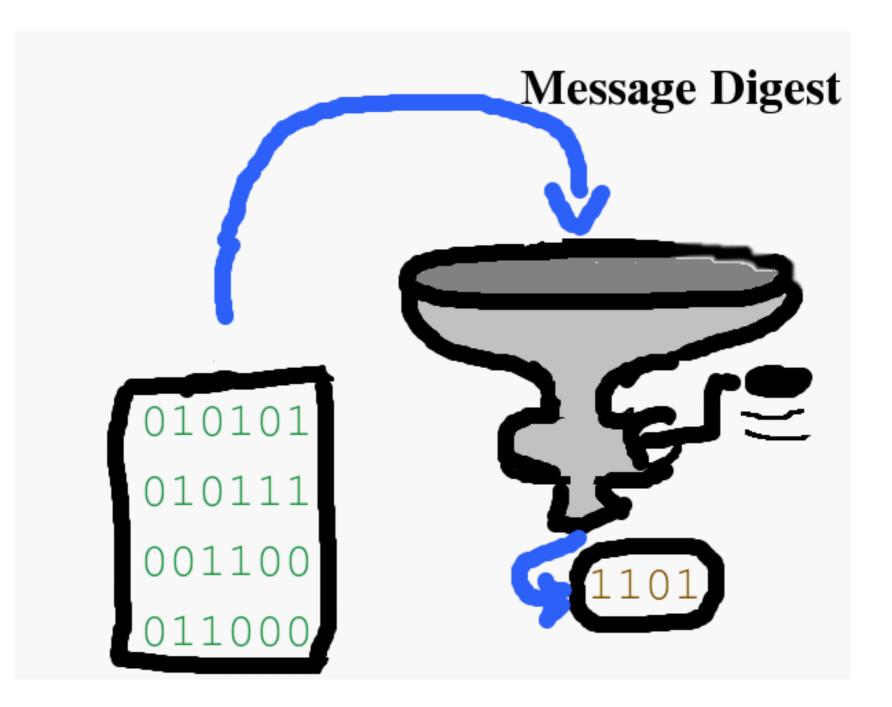
#### Attacks on Communication Channel



## Examples

- Pedestrian behavior
  - Detect device information of people walking with a cellphone or similar device
    - Cellphone signals
    - Wifi enabled cell-phones: MAC address
  - Traffic planning does not know about the identity
    - Find a way to anonymize identities

#### Hashes and message Digests



- Hashes are one-way functions
  - Space of BLOB (Binary Large OBjects) to space of small fixed-length string
  - Blob changes a little bit induces big changes in hash

- Various notions of security
  - Pre-image resistance
    - Given hash h it should be hard to find a blob m such that hash(m) = h
  - Second pre-image resistance
    - Given blob *m* it should be hard to find a blob *n* such that hash(*m*) = hash(*n*)
  - Collision resistance
    - It should be hard to find two different blobs m and n such that hash(m) = hash(n)

- "Provably" secure hashes:
  - If there is an algorithm that finds a pre-image
  - Then we can solve an NP difficult problem / probabilistic NP difficult problem in polynomial time
- Tend to be too slow

- Can be used to ID documents.
  - Computer Forensics:
    - Use hashes of known good functions (OS, standard software) to exclude artifacts from examination
  - Public signatures of documents
    - Instead of encrypting objects with private key
    - Encrypt secure hash of object with private key
      - Because public key encryption takes time

• $E_p(O)$  vs  $E_p(h(O))$ 

- Large number of proposed hashes
- Selection process by NIST
  - Validation of implementation through CMVP

# Hashes for signing

- Public encryption is expensive
  - Alice has public private key pair  $U_A$  and  $R_A$
  - Alice wants to sign a message
  - Sends  $(R_A(h(m)), m)$ 
    - Only she knows  $R_A$
  - Anyone knowing can decrypt:  $U_A(R_A(h(m))) \stackrel{?}{=} h(m)$

| Name  | Size                          | Speed               | Status   |
|-------|-------------------------------|---------------------|--|
| MD5   | 128b                          | 335MB/sec           | completely broken                                    |
| SHA 0 | 160b                          |                     | broken   |
| SHA-1 | 160b                          | 192 MB/sec          | no practical attacks yet but<br>theoretically broken |
| SHA-2 | 224b / 256b /<br>384b / 512 b | 139 - 154<br>MB/sec | secure but not recommended                           |
| SHA-3 | 224b - 512b                   |                     | recommended  |



# Key Management

Thomas Schwarz, SJ

## Key-Management

- Keys are generated by programs
  - Hashes of user-provided passwords
  - Random strings
  - Public-private key programs
- Problem is key-distribution

## Session Keys

- Common practice to use a ephemeral key used during a single session
  - Can be generated by Diffie-Hellman
  - Invented by one of the parties and distributed with a master key
- Provides "forward security":
  - If an adversary stores encrypted communication and later obtains the key information of one of sender and recipient, then the adversary can still not decrypt the communication

## Key Management

- Session keys
  - Use Diffie-Hellman
    - Based on the hardness of the discrete logarithm problem
  - Share prime p and multiplicative generator g
    - $\{g^i \% p \mid i \in \{0, 1, \dots, p-1\}\}$
  - Alice invents a and sends  $g^a$  to Bob
  - Bob invents b and sends  $g^b$  to Alice

• Both use 
$$g^{ab} = (g^a)^b = (g^b)^a$$

• Only they can do this calculation

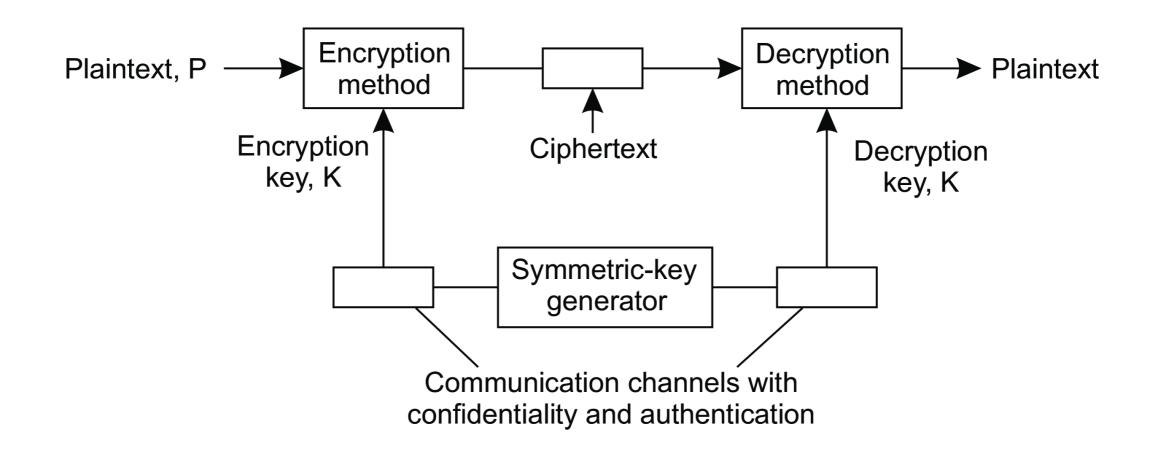
Key Management



- Distributed Diffie-Hellman:
  - All servers agree on a generator *g* and *p*
  - Each server  $S_i$  invents a secret  $a_i$
  - Servers  $S_i$  and  $S_j$  communicate via the secret key  $g^{a_i a_j}$

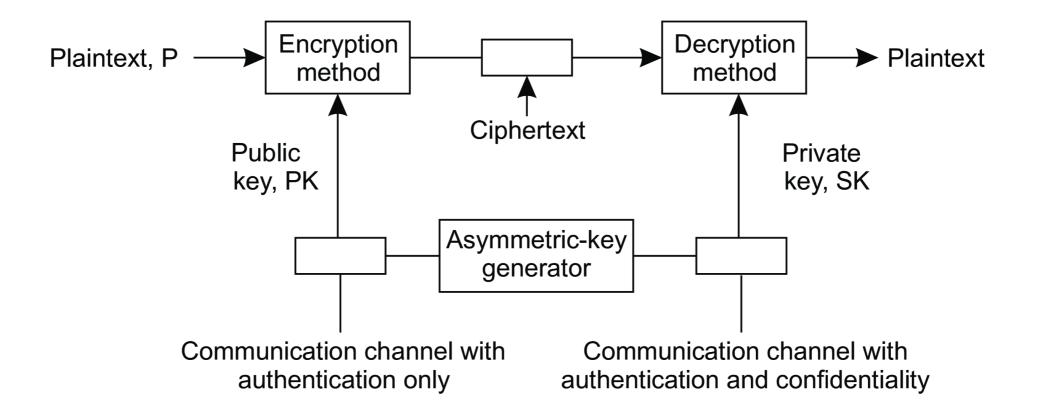
## Key Management

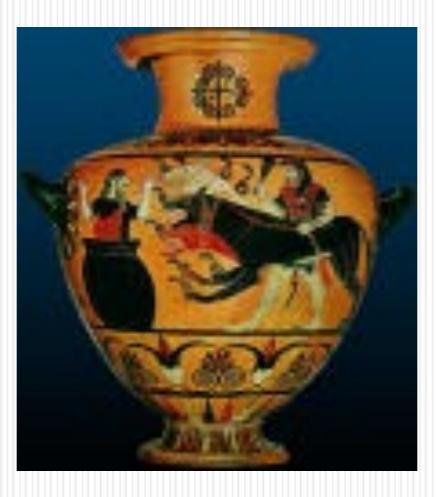
- Key distribution
  - For secret keys:



## Key Management

- Key distribution
  - For public-private keys:







- Simplifies administration of authentication
  - User signs in on her/his workstation
    - Using password, ...
  - User is automatically authenticated to all services
  - Network is not considered safe
- Uses the concepts of
  - KDC Mediated authentication
  - Trusted intermediary
- Implements Single Sign-On SSO



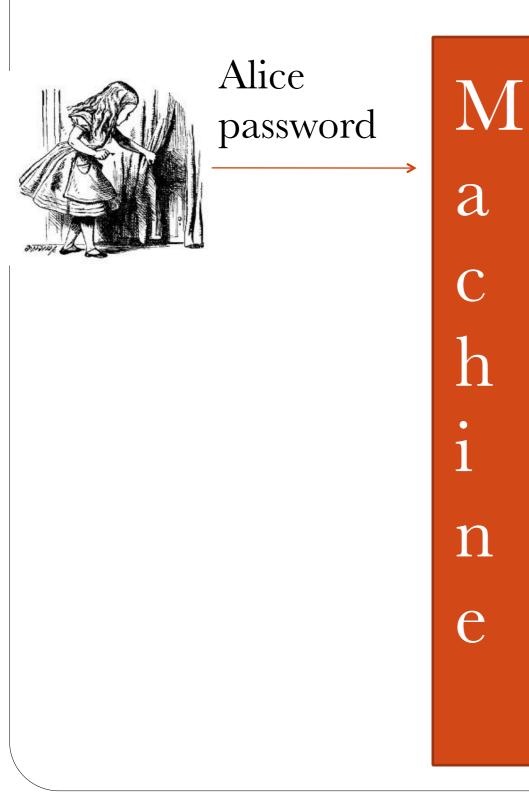
- Kerberos: Developed at MIT based on the work by Needham Schroeder
  - Uses symmetric encryption (for patent reasons)
  - Available in versions 4 and 5
    - Version 4 has better performance, but needs TCP/IP
    - Version 5 has more functionality
      - Part of Windows OS
      - Available in Linux as Heimdal
      - Available in variants for commercial UNIX and Apple OS X
  - PKINIT is a version using public keys



- Tickets and Ticket Granting Tickets (TGT)
  - All principals have a shared, secret key with the KDC: master key / principal key
  - $\bullet$  If Alice needs a service from Bob, she goes to the KDC to obtain a session key  $K_{AB}$  and a ticket for B
    - $\bullet$  Alice's Credential:  $K_{AB}$  and the ticket for Bob
    - Alice cannot read what is in the ticket



- Original Authentication
  - Alice authenticates with her workstation
  - Her master key is derived from her password
  - Her workstation asks the KDC for a session key:  $S_{Alice}$
  - Session key is valid for some hours
    - Protects Alice's master key
  - She also obtains a TGT (Ticket Granting Ticket)
    - [*S<sub>Alice</sub>*, Information on Alice's identity, expiration time] encrypted by the master key of the KDC
  - Her workstation only maintains the TGT and  $S_{Alice}$



#### KRB\_AS\_REQ "Alice needs TGT"

 $\begin{array}{l} \text{KRB\_AS\_REP} \\ \text{K}_{Alice} \left\{ S_{Alice}, TGT \right\} \end{array}$ 

 $TGT = K_{KDC} \{\text{`Alice''}, S_{Alice} \}$ 



K



- Alice's credentials are encrypted with  $K_{Alice}$  but the TGT is already encrypted
- Why do we need a TGT?
  - If Alice needs a service, her machine sends the TGT with the request to the KDC
  - The KDC decrypts the request and has all the information needed on Alice
  - KDC does not need to maintain state such as information send previously to Alice.



- In order to obtain service from Bob, Alice uses a program
  - The program needs to interact with Kerberos
- Her workstation generates a request to the KDC for a ticket
  - The request contains an authenticator
- KDC uses the information in the TGT to authenticate Alice and returns a ticket.
- Her workstation sends ticket and authenticator to Bob
- Bob deciphers the ticket to obtain the session key and uses the authenticator in order to authenticate Alice
- Bob then sends his authenticator to Alice who now can authenticate Bob

Alice

rlogin

Bob

• Wants service from Bob.

M

a

C

h

1

n

C



KRB\_TGS\_REQ "Alice needs Bob" TGT =  $K_{KDC}$ {"Alice",  $S_{Alice}$ } authenticator =  $S_{Alice}$  {time}



K D C

KRB\_TGS\_REP  $S_{Alice}$  {"Bob",  $K_{AB}$ , Ticket} Ticket =  $K_{Bob}$  {"Alice",  $K_{AB}$ }





M a C h 1 n C

KRB\_AP\_REQ Ticket =  $K_{Bob}$  {"Alice",  $K_{AB}$ } authenticator =  $K_{AB}$  {time}

 $\frac{\text{KRB}_{\text{AP}}_{\text{REP}}}{K_{AB}} \{ \text{time } +1 \}$ 



- Login implementation:
  - V4: Kerberos asks for login only after credentials are obtained from the KDC
    - Minimizes the time that the password is stored in machine memory
    - Makes it easier for an adversary who can obtain information from the KDC for a dictionary attack
  - V5: Kerberos asks for the password before getting credentials from the KDC



- Replicated KDC
  - KDC is a single failure point
  - Replicas need access to the master database of users
  - Usually use Master-Slave protocol
    - Only the master can change the database
    - Master keys in database are encrypted by the master key of the KDC and protected in transit
    - The rest of the contents are stored as hashes.

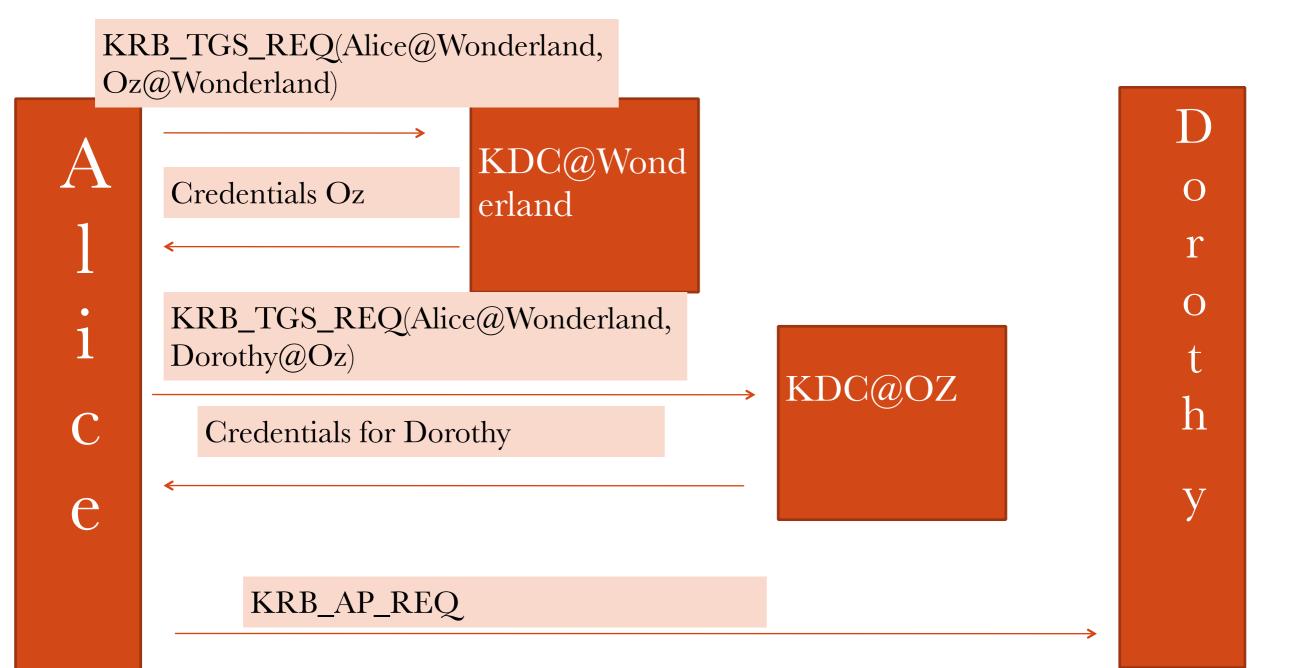


- Realms
  - Principals of Kerberos are divided into reals with their own database
  - The KDC in each realm have their own master keys.
- V4: Every principal has a name consisting of three components
  - Name
  - Instance
  - Realm
  - E.g: Alice.Systemmanager.DMSCS



- To authenticate for principals in another realm
  - Need to find a chain of KDCs that know each other
  - One obtains the TGT of the previous KDC for the subsequent KDC
  - Until one reaches the KDC for the realm of the target







- Change of keys:
  - Naive solution
    - Bob changes key
    - Alice's credential no longer work
    - Her machine needs to find new credentials based on Bob's correct key
    - Not always possible in a batch job
  - Implemented solution
    - All keys have a version number
    - Ticket expire in 21 hours, so there is no problem having more than one version of the key
    - Users might still have problems:
      - A change of password is effective immediately in a master KDC
      - A slave KDC can use the previous key until updating the database
        - Which results in failure to authenticate Alice



- V4: IP-directions in tickets
  - As an additional authentication mechanism:
    - All tickets have the IPv4 address of the user
      - Prevents Alice from delegating her rights to someone else
      - Prevents interception of credentials
        - The spoofer needs to obtain ticket and authenticator and prevent that the true authenticator gets to the server
        - Now needs to falsify also his IP address



- Changes in V5
  - Uses ASN.1 (data representation language)
  - Example:
    - Address in V4: 4 bytes
      - Assumes IPv4
    - V5:
      - addr-type needs a byte to specify if it is type 0 and another one to specify the length
      - INTEGER needs a byte to specify that it is an integer, one for length, and at least one for the value itself
      - address[1] needs four bytes plus the value
      - 11 additional bytes

| HostAddress := SEQUEN | ICE {         |
|-----------------------|---------------|
| addr-type[0]          | INTEGER       |
| address[1]            | BYTE STRING } |



- V5: Names
  - simpler and without prohibited characters like "."
  - contain a type and a variable number of fields.



- Delegation of rights
  - Capacity to give access to someone else over something over which one has a right
    - Necessary if someone (Bob) acts instead of another entity
    - Cannot be implemented by sharing master key
  - V5: Alice can request a TGT with Bob's address
    - Can only be used by Bob
  - V5: Alice can request a ticket with Bob's IP-address
    - This limits what Bob can do in lieu of Alice
  - Additional interaction for delegation allows KDC to establish an audit trail
  - Possible to put in a rule in the master database to specify
    - If TGT can be used to obtain a TGT or ticket with different network address
    - If the TGT can be forwarded.



- Implementation of Delegation (continued)
  - Flag Forwardable:
    - TGT with this flag can be exchanged for a TGT with different network address
      - Allows Alice to give a TGT to Bob with which Bob can obtain tickets in lieu of Alice
  - Flag Proxiable:
    - TGT with this flag can be utilized to obtain tickets with different network address
    - Allows Alice to obtain a proxy ticket to give to Bob,
    - Does not allow Alice to get a TGT for Bob



- Implementation of Delegation (continued)
  - TGT can have flag FORWARDED
  - Tickets can have flag FORWARDED and PROXY
    - An implementation can distinguish between tickets obtained by forwarding and proxying
  - KDC and application decide on permissibility of forwarding and proxying



- Ticket Life Time
  - V4: Limited to about 21 hours
    - Creates problem for long running batch job
  - V5: Ticket life time is extended, implemented with
    - STARTTIME
    - ENDTIME
    - AUTHTIME
      - when Alice logged in
    - RENEWTILL



- Renewable tickets
  - Why? Tickets with long life are difficult to revoke
  - If Alice needs a long-life ticket, KDC sets flag RENEWABLE
  - Before ticket expiration:
    - Alice needs to renew the ticket
      - The KDC just gives a ticket with changed expiration time
  - Alice needs to run a daemon in order to renew tickets about to expire



- Post-dating tickets
  - Used to allow tickets to valid in the future
  - KDC emits a ticket with a flag INVALID and STARTTIME set to when the ticket will be needed
  - When the start time comes, Alice presents the ticket to get a new ticket
    - This allows easy ticket revocation
  - A post-dated ticket has a flag POSTDATED to allow an application to refuse post-dated tickets.



- Key versions
  - Problem with key change:
    - Tickets become suddenly invalid
  - Key versions allow server Bob to change his principal key
    - All keys carry version number
    - The database entry for Bob contains *key*, *p\_kvno*, *k\_kvno* 
      - *key* key of Bob encrypted by the principal key of KDC
      - *p\_kvno* version number of Bob's key
      - *k\_kvno* version number of the KDC key used
      - Allows the KDC to change key



- If human user Alice is registered in various realms, she probably wants to reuse the same password
- To avoid generating the same principal key, V5 generates it as hash of the password and the realm name
- Does not avoid dictionary attacks but protects good passwords



- Hierarchy of realms
  - V4: To obtain a ticket of another realm, both realms need to be mutually registered
  - V5: Allows a request to pass through a chain of realms
  - An intermediate KDC can pretend to be any user in the whole world
    - Counter-measure:
      - Tickets with field TRANSITED with a list of realms through which the request went
      - A rogue KDC cannot change its name in this list, only the ones that came before



- Measures against password guessing
  - Login attacks
    - V4 sends a request without authentication to the KDC
      - Adversary can use the resulting ticket for an offline dictionary attack
    - V5 can use PREAUTHENTICATION-DATA to prove that the user known his principal key
  - Ticket attacks
    - Alice asks KDC for a ticket for a human user, Bob
    - Receives a ticket encrypted with Bob's principal key
    - She can now verify a guess of Bob's password
    - V5 has a field in the user list that disallows the creation of a ticket.

# αλαΣ - HELLAS Λ.30

#### Kerberos

#### • PKINIT

- Version that avoids dictionary attacks on passwords
- Two modes:
  - Certificates
  - Diffie-Hellman

# ΑΛΑΣ-HELLAS Λ.30

- PKINIT
  - Uses Public Key Infrastructure
    - All principals have public / private key pairs
      - For Alice:
        - Public key U<sub>Alice</sub>
        - Private key R<sub>Alice</sub>
    - All principals have certificates
      - (Alice Alice's public key)signed by certifying authority



K

 $\mathbf{M}$ a h 1 n C

KRB\_AS\_REQ: "Alice needs TGT from KDC"

> $n_1$ Certificate(Alice,  $U_{Alice}$ )  $R_{Alice}$ {timestamp,  $n_2$ }

KDC invents symmetric key *k* and session key *S*<sub>Alice</sub> *checksum* is a keyed hash of Alice's request

 $\begin{array}{l} \text{KRB\_AS\_REP:} \\ \text{Alice, TGT,} \\ U_{\text{Alice}} \{ \text{Certificate}(\text{KDC}, U_{\text{KDC}}), R_{\text{KDC}} \{ k, \text{ checksum} \} \} \\ k \{ S_{\text{Alice}}, n_1, \text{ TGT} \} \end{array}$ 



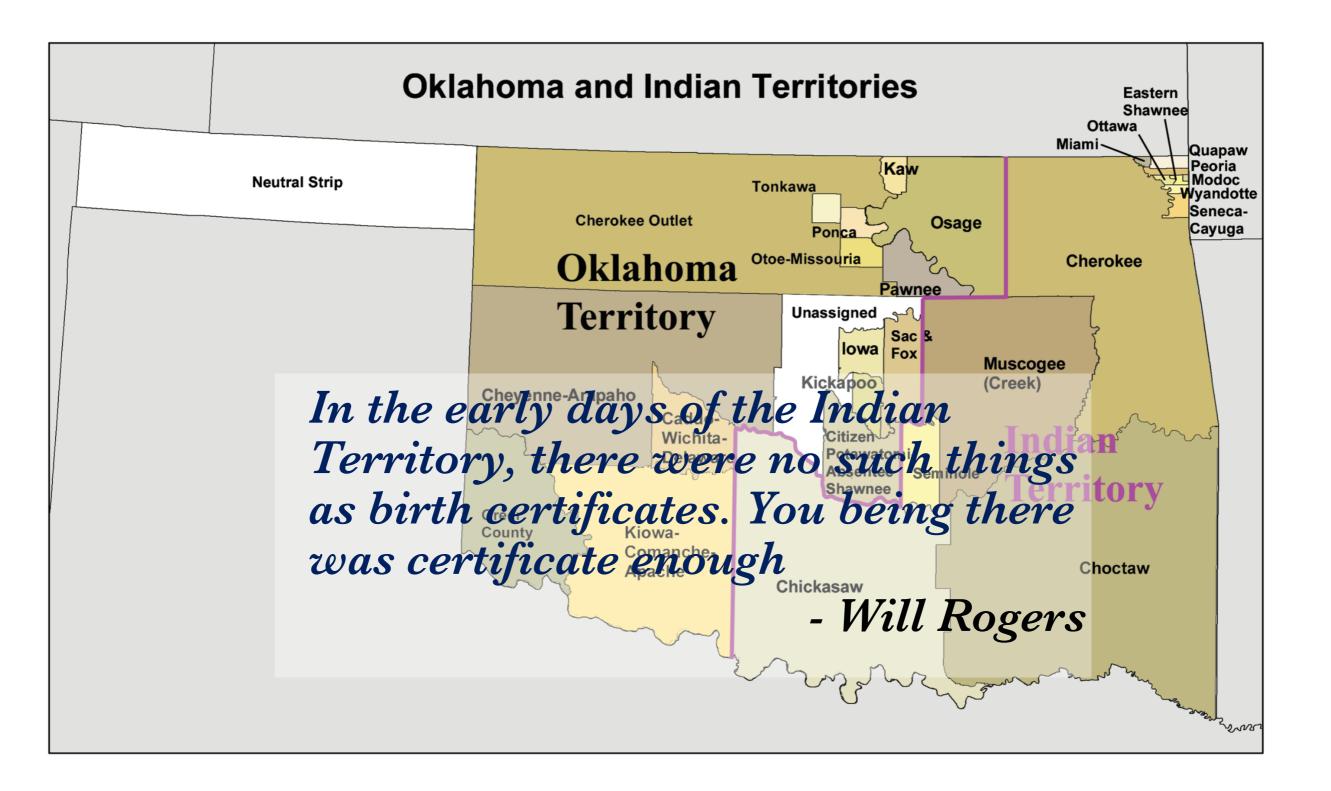
- Diffie-Hellman modality
  - Secret key *k* is not invented by KDC
  - Rather: it is generated by a Diffie-Hellman exchange between Alice and KDC

# Key Management

• Distribute public keys using certificates



#### PUBLIC KEY INFRASTRUCTURE



#### Certificates

- Certificates link entity's name to its public key
- Are signed by a certifying authority

Alice has public key 1450293485797<sub>signed Carol</sub>

Alice is the *subject* Carol is the *issuer* If Bob uses the certificate, he becomes the *verifier* 

#### Certificates

- Need to specify algorithms used
- Add validity dates

#### X.509

X.509 Version Number

Serial Number

Signature Algorithm Identifier

Issuer (X.500 Name)

Validity Period (Start – Expiration dates / times)

Subject (X.500 Name)

Subject Public Key Information: Value

Algorithm Identifier, Public Key

Issuer Unique Identifier

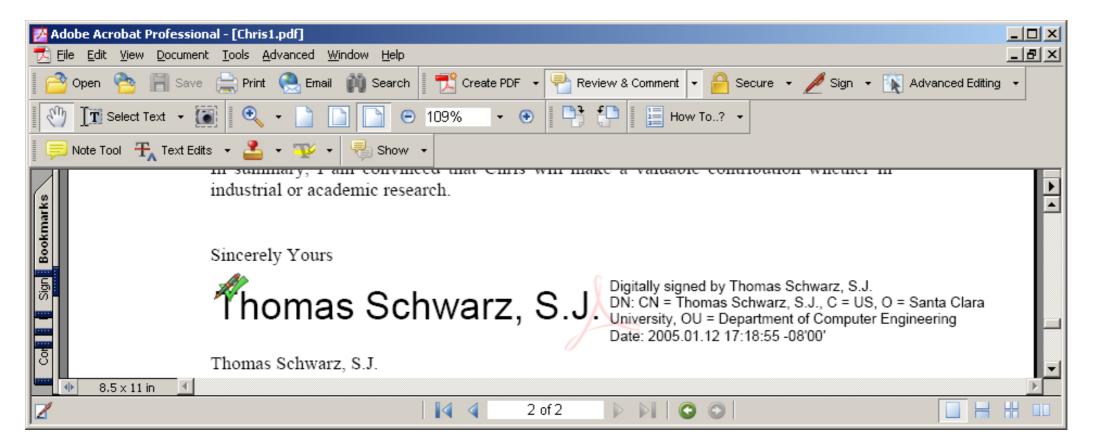
CA Digital Signature

#### Certificates

- Naming is a security concern
  - Example: "Microsoft Corporation" vs "Microsoft Corporation"
    - Look the same, but are different unicode strings (the second one uses the Hungarian letter o)
  - How many "Bill Smith" are there?

#### Names

- X 500 names
  - Common name, country, organization, organizational unit



# X.509 Certificates

- Uses X.500 identifiers for used algorithms
  - Issuer signature
  - Public key certified
- Algorithms are registered and numbered in the Abstract Syntax Notation 1

# X.509 Certificate

- VERSION 2 or 3
- SERIALNUMBER identifies uniquely certificates of issuer
- SIGNATURE Method used for signing
- VALIDITY start and end time of validity
- SUBJECT
- SUBJECTPUBLICKEYINFO
- ISSUERUNIQUEIDENTIFIER
- ALGORITHMIDENTIFIER
- ENCRYPTED

# Value of Certificates

- Value of certificate depends on the diligence of the issuer in verification of the identity of the subject
  - Verisign once issued a certificate for Microsoft on a stolen credit card
    - Claimed to have changed procedures so that it could never happen again
    - But would not say how for security reasons

| ertmgr - [Certificates - Current Use                    | er\Untrusted Certificates\Certificates]                     |   |  |  |   |        | _ 0            | × |  |  |  |  |  |  |
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| Certificates - Current User       Personal              | Issued To<br>Microsoft Corporation<br>Microsoft Corporation | Issued By<br>VeriSign Commercial Software Pu<br>VeriSign Commercial Software Pu |  | Intended Purposes<br><aii><br/><aii></aii></aii> | Friendly Name<br>Fraudulent, NOT M<br>Fraudulent, NOT M | Status | Certificate Te |   |  |  |  |  |  |  |
| III III III III Intrusted Certificates store contains 2 | certificates.   |   |  |  |   |        |                |   |  |  |  |  |  |  |

# Value of Certificate

- Need public / private key pairs and hence certificates for each use of asymmetric cryptography
  - One for signing
  - One for each authentication protocol
  - One for confidentiality

# X.509 Extensions

- Version 3 allows extensions
  - Standard extensions
    - Key information
    - Policy information
    - Subject and issuer attributes
    - Restrictions on certificate path
    - Extensions for certificate revocation

# PKIX

- Another standard from IETF 1994
  - Has extensions
    - AuthorityKeyIdentifier
    - SubjectKeyIdentifier
    - KeyUsage
    - PrivateKeyUsagePeriod
    - CertificatePolicies
    - PolicyMappings
    - SubjectAltName

#### Other standards

- PBP
- WAP WTL replaces ASN.1 names with simpler ones
- DNSSEC certificates for DNS
- SPKI (Simple PKI) RFC 2693

#### Revocation

- Certificates need to be revoked because of
  - Issuer mistakes
  - Loss of private keys

#### Revocation

- Certificate Revocation Lists (CRL)
  - Basic Idea: publish a list of revoked certificates periodically
  - Certificates are identified by serial number and issuer
  - Security problems:
    - Confidentiality:
      - Publish only serial number and hash of contents
    - Adversary can publish old CRL
      - Always publish a CRL at a given time
      - Sign CRL

#### Revocation

- Need to save space:
  - Delta CRL
    - Publish difference between previous and current CRL
  - Include first valid serial number
    - Allows to revoke old certificates en masse

# Implementation of Revocation

- On-Line Revocation Service (OLRS)
  - Server that responds whether a certificate is valid
- Black List versus White List
  - Black List of revoked certificates
  - White List of valid certificates
  - Both prevent falsifying a certificate with a used serial number

- Certificate chains
  - Verifier needs to know public key of issuer
  - Might need a certificate for issuer
  - Gives raise to chains of certificates

- Who can become an issuer?
  - Anarchy (PGP)
    - Everybody can sign certificates
    - Verifiers have a database of certificates
    - Verifier assigns trust to certificates
      - Usually multiple chains for the same subject
        public key pair

- Monopoly
  - Only one entity (certifying authority) in the universe
  - Its public key is embedded in all software and hardware products
- Who should this entity be?

- Monopoly with Registration Authorities (RA)
  - Sole CA allows RA
    - RA authenticate the identity of a subject, create keys, and guarantee the link between subject and key
  - All certificates are from the same CA

- Monopoly with delegated CA
  - Root CA authenticates other CAs
  - CAs sign their own certificates

- Oligopoly
  - There are several trusted CAs
    - Software / OS manufacturer decide which CAs are trusted

|                             |                      | ers        |                   | <u> </u> |
|-----------------------------|----------------------|------------|-------------------|----------|
| Issued To                   | Issued By            | Expiratio  | Friendly Name     | Ŀ        |
| SecureSign RootCA3          | SecureSign RootCA3   | 9/15/2020  | Japan Certificati |          |
| SERVICIOS DE CER            | SERVICIOS DE CERTI   | 3/9/2009   | SERVICIOS DE C    |          |
| SIA Secure Client CA        | SIA Secure Client CA | 7/8/2019   | Societa Interban  |          |
| SIA Secure Server CA        | SIA Secure Server CA | 7/8/2019   | Societa Interban  |          |
| 🖼 Swisskey Root CA          | Swisskey Root CA     | 12/31/2015 | Swisskey Root CA  |          |
| TC TrustCenter Cla          | TC TrustCenter Class | 1/1/2011   | TC TrustCenter    |          |
| 🔛 TC TrustCenter Cla        | TC TrustCenter Class | 1/1/2011   | TC TrustCenter    |          |
| 🔛 TC TrustCenter Cla        | TC TrustCenter Class | 1/1/2011   | TC TrustCenter    |          |
| TC TrustCenter Cla          | TC TrustCenter Class | 1/1/2011   | TC TrustCenter    | Ē        |
|                             |                      |            |                   | _        |
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| ertificate intended purpose | ·s                   |            |                   |          |
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|                             |                      |            |                   |          |

- Domain CAs
  - Certificates for users in a certain domain
    - Each CA administers its own domain
    - Possible to allow cross-site certification
    - PKIX allows to restrict certificates to certain domains



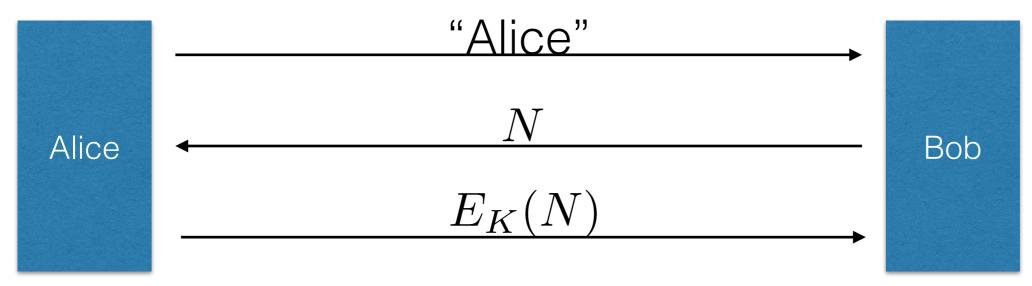
- Threat model
  - Passive sniffing
    - Malicious Mallory can read messages between Alice and Bob
      - but does not change / suppress them
  - Spoofing
    - Mallory pretends to be Alice or Bob
  - Breaking Crypto
  - Man-in-the-Middle
  - Replay attacks
  - Reflection attacks (open several sessions)

Simple password protocol



- Vulnerable to:
  - Sniffing
  - Spoofing (Mallory pretends to be Bob)
  - Replay attacks

- One sided authentication (Alice to Bob)
  - Alice and Bob share secret K and use symmetric encryption

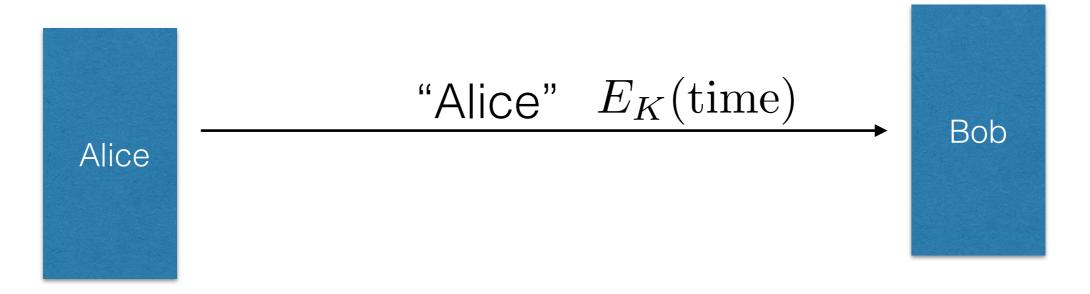


- Bob challenges Alice with a random number N.
  - A nonce
- Alice encrypts the random number
- Bob verifies the encryption

- Variations
  - Bob asks Alice to decrypt a random value that Bob has encrypted
  - Same vulnerabilities and same work

- Vulnerable to
  - Denial of Service Attack
    - Mallory makes lots of login attempts
    - Each time, Bob encrypts something
  - Can be vulnerable to sniffing / replay if the random number is not random or repeated

Clock-based scheme



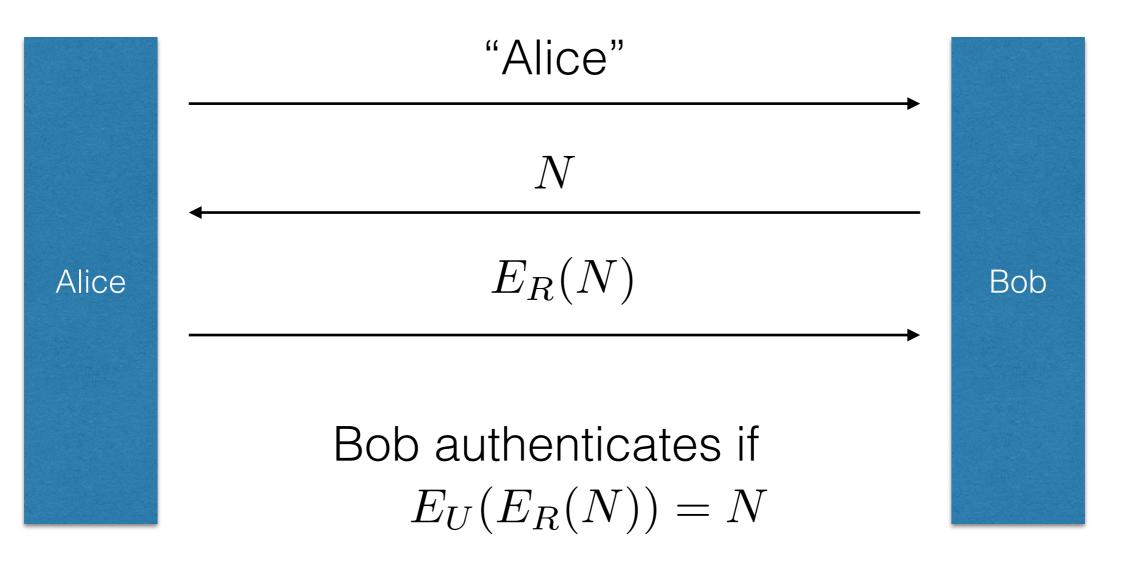
Instead of a challenge, Alice just encrypts the time

- Clock-based schemes
  - Problem is clock drift
    - If Bob demands too much accuracy, then clocks need to be closely synchronized
      - Synchronization can be a point of attack
    - Otherwise, vulnerable to replay attack

- How to organize a replay attack
  - Mallory fills up Bob's message queue with pseudomessages
  - Alice sends login message to Bob, which is intercepted "Alice,  $E_K$ (time)"
  - Mallory stops attacking Bob's message buffer and starts attacking Alice's
  - Mallory resends the intercepted login message

#### Handshake Protocols

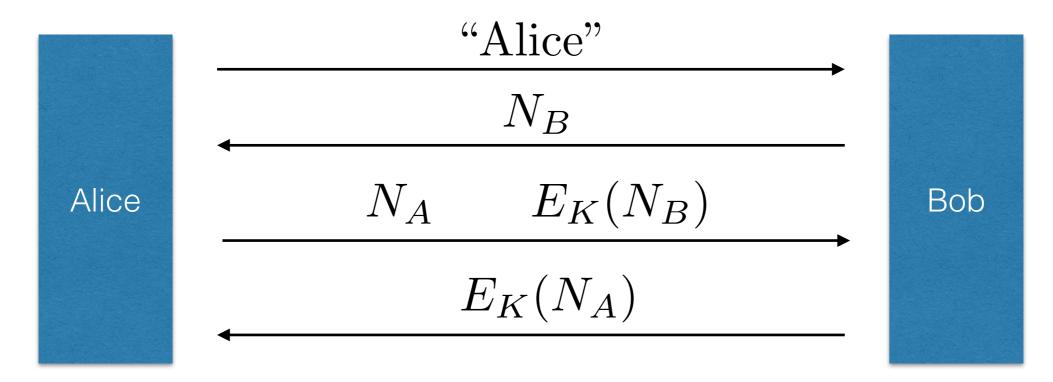
- Public key based one-sided authentication
  - Alice has private key R and public key U



#### Handshake Protocols

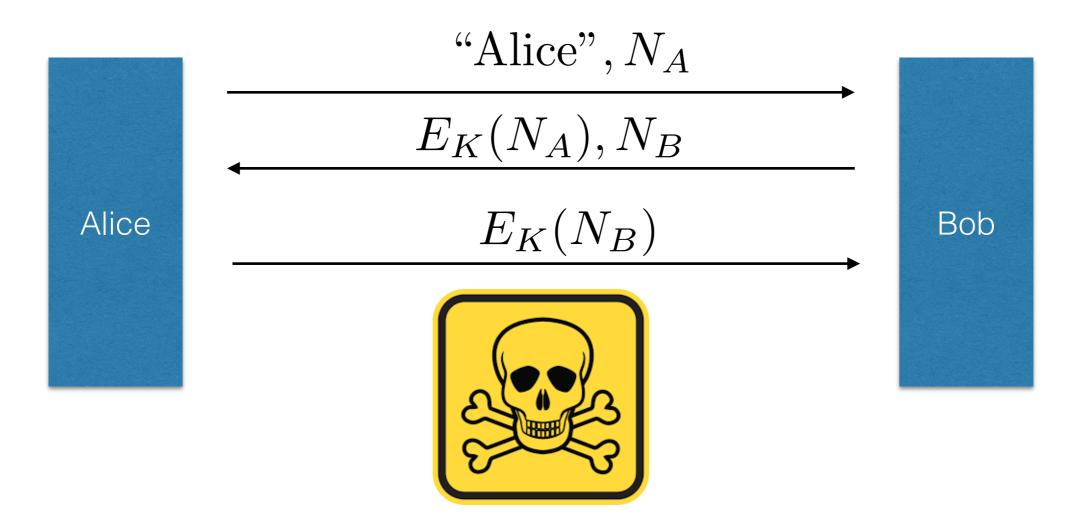
- Variation
  - Bob can challenge with a key encrypted with Alice's public key
- Vulnerable to DoS attack
  - Bob spends time on public-key cryptography for each login attempt

 With symmetric cryptography: Both Alice and Bob challenge each other with nonces

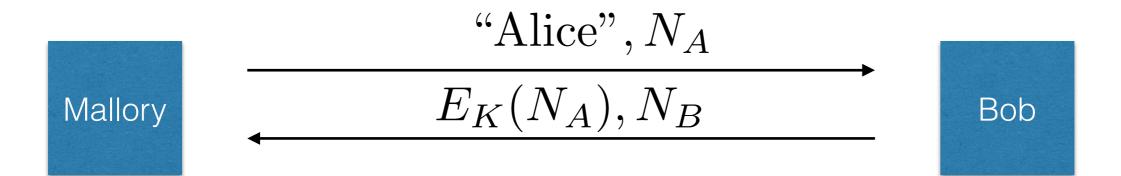


- Less vulnerable to Denial-of-Service attacks
- Uses four rounds

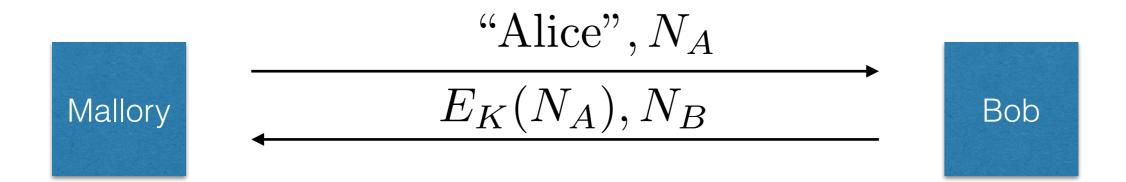
To save one round, one can have Alice challenge Bob first



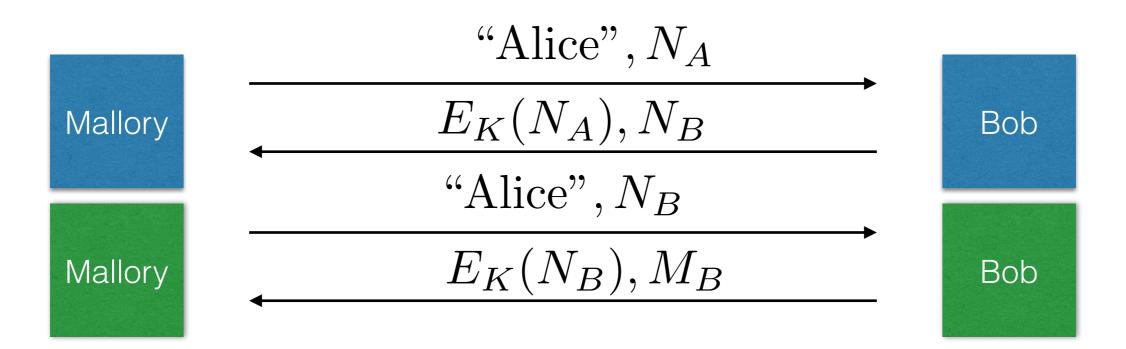
- The three-round protocol is vulnerable to a replay attack
  - Mallory pretends to be Alice.



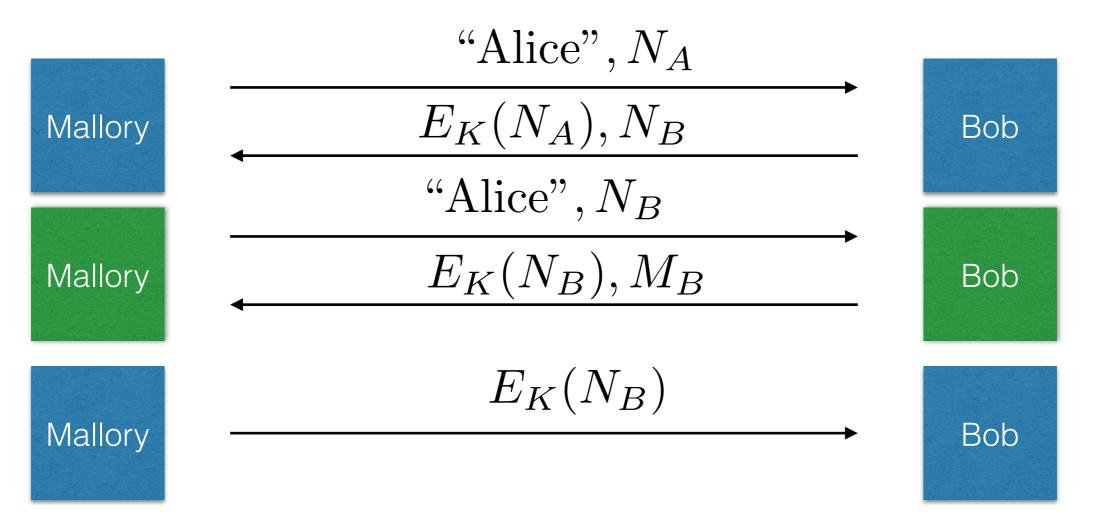
- The three-round protocol is vulnerable to a replay attack
  - Mallory pretends to be Alice.



 Mallory is now stuck. (S)he opens a second connection to Bob.



- Mallory reflects Bob's challenge back to Bob
- Bob solves the challenge and poses a new one



- Mallory then returns to the first session
- Reflects Bob's own answer to her challenge in the second session

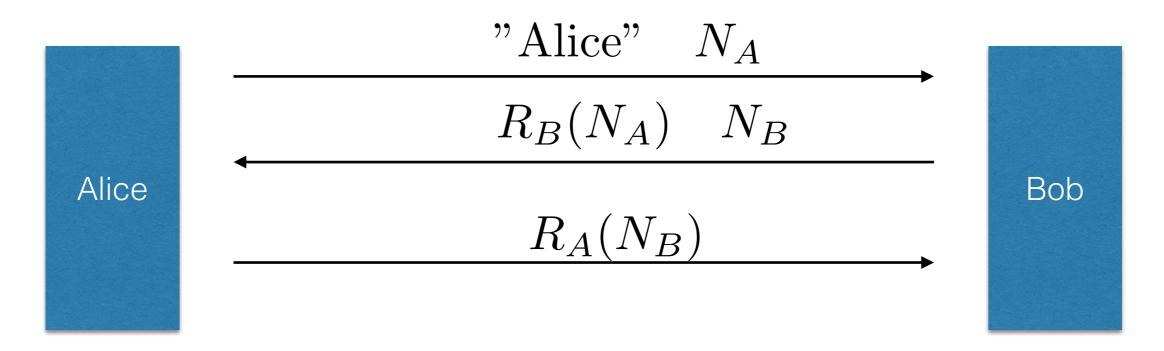
- Warning signs for the possibility of a reflection attack
  - 1. Requestor authenticates last
  - 2. Both requestor and authenticator use the same protocol for dealing with challenges

- Can break the scheme by:
  - Have Alice authenticate first
    - (the previous scheme)
  - Destroy symmetry
    - E.g.: Alice's nonces have to be even and Bob's have to be odd

- Other attack: spoofing for offline password attack
  - Mallory spoofs Alice
  - Obtains  $E_K(N_A), N_B$ 
    - First part controlled by her
    - Can now try to brute-force key

 Mutual authentication based on asymmetric cryptography is not symmetric between requestor and authenticator

- Assume that Alice has public key  $U_{\!A}\,$  and private key  $R_{\!A}$ 



Alice checks:  $U_B(R_B(N_A)) == N_A$ 

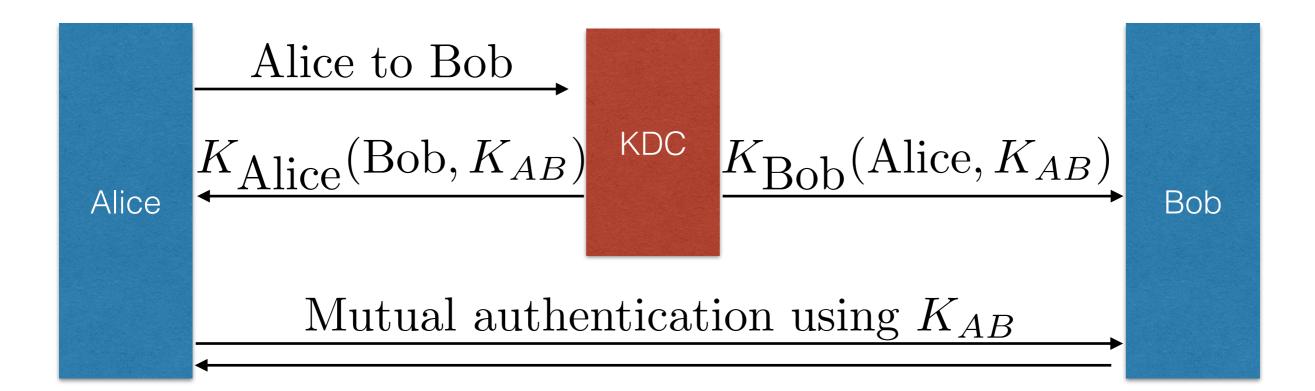
Bob checks:  $U_A(R_A(N_B)) == N_B$ 

- Vulnerabilities:
  - Possibility of Denial of Service Attack yes
  - Spoofing / Sniffing:
    - No point, can directly brute-force the public keys
  - Replay:
    - Only if nonces get reused
  - Reflection attack:
    - No: protocol is not symmetric
  - Man-in-the-middle:
    - Vulnerable: MitM just passes on the messages

- Reuse of key-pairs
  - To sign, we encrypt a hash with the private key
  - Spoofing:
    - Pretend-Alice sends the hash of a message as nonce
    - Bob signs it
  - Prevention:
    - ALWAYS let requestor authenticate first
    - NEVER use private-public key-pairs for more than one purpose

- Users / services have an account with a KDC
  - Based on a shared secret
- In case of need to access someone else, KDC provides credential

• Attempt 1:



KDC invents a common session key Distributes it to both

- Attempt 1:
  - KDC invents a common session key
  - Sends it to both Alice and Bob
  - Problem at Bob's side:
    - The sending by KDC and initialization of mutual authentication are not synchronized
    - Forces Bob to remember previous messages

- Needham Schroeder Protocol
  - Alice asks KDC for a session key
  - KDC sends Alice the session key (only visible to her) and a ticket
    - Ticket contains the KDC message to Bob
  - Alice presents both her authentication request and the ticket to Bob at the same time
  - Bob no longer has to remember credentials

- Needham Schroeder
  - 1. Alice sends request to KDC, stating her ID and the service she wants to contact

 $N_1$ , Alice, Bob

Nonce in order to label sessions

- Needham Schroeder
  - 2. KDC sends Alice a message encrypted with the key shared by her and the KDC
    - The nonce as a session identifier
    - The service name
    - A ticket for the service
  - The ticket can only be read by Bob

#### $K_A(N_1, \text{Bob}, K_{AB}, K_B(K_{AB}, \text{Alice}))$

• Since only Bob can read the ticket

# $K_B(K_{AB}, \text{Alice})$

• No need to encrypt it with Alice's key

- Needham Schroeder
  - The KDC's job is now done
  - Alice and Bob mutually authenticate
    - Alice to Bob:

#### $K_B(K_{AB}, \text{Alice}), K_{AB}(N_2)$

• with an additional nonce

- Needham Schroeder
  - Bob unpacks the ticket and then obtains the nonce
  - Proves that he could unpack the ticket and is therefore Bob by performing an arithmetic operation on Alice's nonce.
  - Adds a nonce of his own.
  - Sends to Alice

$$K_{AB}(N_2-1,N_3)$$

- Needham Schroeder
  - Alice responds by deciphering (proving that she can read the information send by the KDC to her),
  - performing an arithmetic operation on the result
  - and sending it back to Bob

$$K_{AB}(N_3-1)$$

- Alice to KDC:
- KDC to Alice:
- Alice to Bob:
- Bob to Alice:
- Alice to Bob:

 $N_1, \text{Alice, Bob}$   $K_A(N_1, \text{Bob}, K_{AB}, K_B(K_{AB}, \text{Alice}))$   $K_B(K_{AB}, \text{Alice}), K_{AB}(N_2)$   $K_{AB}(N_2 - 1, N_3)$   $K_{AB}(N_3 - 1)$ 

• What happens if the message from Bob to Alice is

#### $K_{AB}(N_2 - 1), K_{AB}(N_3)$

- There is a small vulnerability in Needham Schroeder
  - Trudy manages to determine the common  $\ker K_{AB}$  long after it has been used
  - Trudy has sniffed messages (3)-(5)
  - Trudy sends  $K_B(K_{AB}, \text{Alice}), K_{AB}(N_2)$
  - Bob responds with  $K_{AB}(N_2-1,N_3)$ 
    - where the last nonce is new
  - But Trudy can respond with  $K_{AB}(N_3-1)$

- Solution 1: Timestamps
  - Add a time stamp T to the protocol

Alice to KDS: Alice, Bob,  $N_1$ KDS to Alice:  $K_A(N_1, \text{Bob}, K_{AB}, T, K_B(\text{Alice}, K_{AB}, T))$ Alice to Bob:  $K_B(\text{Alice}, K_{AB}, T), K_{AB}(N_2))$ Bob to Alice:  $K_{AB}(N_2 - 1, N_3)$ Alice to Bob:  $K_{AB}(N_3 - 1)$ 

- Solution 2: Strong Needham Schroeder
  - Alice goes to Bob who hands her a nonce that only he can verify
  - Alice asks the KDC to put this verifier into the ticket for Bob

• Strong Needham Schroeder

Alice to Bob: I want to talk to you Bob to Alice:  $K_B(N_B)$ Alice to KDS: Alice, Bob,  $N_1, K_B(N_B)$ KDS to Alice:  $K_A(N_1, \text{Bob}, K_{AB}, K_B(\text{Alice}, K_{AB}, N_B))$ Alice to Bob:  $K_B(\text{Alice}, K_{AB}, N_B), K_{AB}(N_2))$ Bob to Alice:  $K_{AB}(N_2 - 1, N_3)$ Alice to Bob:  $K_{AB}(N_3 - 1)$ 

#### Key Management

#### Key Management

#### Key Management